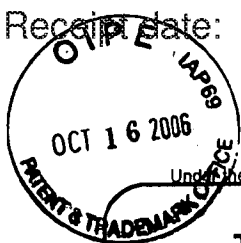


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10828997 - GAU-3729



PTO/SB/21 (09-06)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

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Application Number 10/828,997

Filing Date April 20, 2004

First Named Inventor David W. Caldwell

Art Unit 3729

Examiner Name Carl J. Arbes

Attorney Docket Number 37041-11481

ENCLOSURES (Check all that apply)

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Jenner & Block LLP		
Signature			
Printed name	Mark P. Vrla		
Date	October 12, 2006	Reg. No.	43,973

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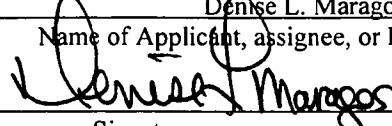
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PATENT
Attorney Docket
37041-11481



Denise L. Maragos
Name of Applicant, assignee, or Registered Rep.
 _____
Signature Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David W. Caldwell, et al.

Serial No: 10/828,997

Filed: April 20, 2004

Title **SUBSTRATE WITH MULTIPLE CONDUCTIVE LAYERS AND METHODS FOR MAKING AND USING SAME**

Group Art Unit: 3729

Examiner: Carl J. Arbes

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and in compliance with 37 C.F.R. § 1.56, the Office's attention is directed to the references listed on the attached PTO/SB/08A and B. A copy of each listed document is enclosed, including, as applicable, an English language abstract indicating the relevance of the document.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.A./

The undersigned hereby certifies that each item of information contained in this Statement was first cited by the international searching authority in connection with a corresponding international application not more than three months before the filing of this Statement. Applicant believes that this Statement is being filed before the mailing of an action closing prosecution on the merits. In view of the above, and pursuant to 37 C.F.R. § 1.97(c), Applicant believe that no fee is required for the consideration for this Statement. In the event Applicant is mistaken and the Office deems that the fee set forth in 37 C.F.R. § 1.17(p) is due, the Office is authorized to charge such fee to Deposit Account No. 10-0460.

Respectfully submitted,



Mark P. Vrla
Registration No. 43,973

Dated: October 12, 2006

JENNER & BLOCK LLP
330 North Wabash Avenue
Chicago, IL 60611
Telephone No: (312) 222-9350
Facsimile No: (312) 527-0484

Receipt date
OCT 16 2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	10/828,997
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Filing Date	April 20, 2004
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First Named Inventor	David W. Caldwell
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Art Unit	3729
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Examiner Name	Carl J. Arbes
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Attorney Docket Number	37041-11481
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Sheet	1	of	2
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		JP 02-067522	03/1990	Hayaji et al.		
		JP 04-032195	02/1992	Yamamoto et al.		
		JP 04-069979	03/1992	Yoritomi et al.		
		JP 07-140487	06/1995	Masaaki et al.		

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/828,997
		Filing Date	April 20, 2004
		First Named Inventor	David W. Caldwell
		Art Unit	3729
		Examiner Name	Carl J. Arbes
Sheet 2	of 2	Attorney Docket Number	37041-11481

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Preliminary Examination Report Dated October 4, 2006	

Examiner Signature	/Carl Arbes/	Date Considered	1/13/11
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